

04/03/00

	Subclass
Class	ISSUE CLASSIFICATION

PROVISIONAL
APPLICATION
NUMBER

Form PTO-1625
(Rev. 6/99)

SCAN 2/2
QC CIP PD

(FACE)

BEST AVAILABLE COPY

PATENT APPLICATION

60194338

APPROVED FOR LICENSE INITIALS *JAPP*

JC553 U.S. PTO

60/194338



04/03/00

Date Entered or Counted**CONTENTS**Date Received or Mailed

1. Application papers.	6/7/00
2. <i>No Small Entity</i>	<i>9/5/00</i>
3. <i>Request for access</i>	8-10-04
4.	
5.	
6.	
7.	
8.	
9.	
10.	
11.	
12.	
13.	
14.	
15.	
16.	
17.	
18.	
19.	
20.	
21.	
22.	
23.	
24.	
25.	
26.	
27.	
28.	
29.	
30.	
31.	
32.	

POSITION	INITIALS	ID NO.	DATE
FEE DETERMINATION			
O.I.P.E. CLASSIFIER			5-6-23-35
FORMALITY REVIEW	PSA	025114	6/7/00
RESPONSE FORMALITY REVIEW			

(LEFT INSIDE)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

SERIAL NUMBER 60/194,338	FILING DATE 04/03/2000 RULE	CLASS -	GROUP ART UNIT -	ATTORNEY DOCKET NO. -
APPLICANTS Barry A. Bunin, San Bruno, CA ;				
* CONTINUING DATA *****				
* FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/07/2000 ** SMALL ENTITY **				
Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no Met after Allowance Examiner's Signature	STATE OR COUNTRY CA	SHEETS DRAWING -	TOTAL CLAIMS - INDEPENDENT CLAIMS -
ADDRESS Brian I Marcus Esq Fliesler Dubb Meyer & Lovejoy LLP Four Embarcadero Center Suite 400 San Francisco ,CA 94111-4156				
TITLE System and method for obtaining disease target solutions				
FILING FEE RECEIVED 100	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

04/10/2000 MHAPPY 00000051 60194338
01 FC:114 75.00 OP

PTO-1556
(5/87)

*U.S. GPO: 1939-459-082/19144

04/03/00
JC777 U.S. PTO

04-04-06

A/PR ✓

JC552 U.S. PTO
60/194338
04/03/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application) PATENT APPLICATION
Inventor: Barry A. Bunin)
SC/Serial No.: Unknown)
Filed: Herewith)
Title: SYSTEM AND METHOD FOR OBTAINING)
DISEASE TARGET SOLUTIONS)

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"
UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EL504217576US
Date of Mailing: April 3, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box Provisional Patent Application, Assistant Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.


Johann S. Mercado (Signature)
Signature Date: April 3, 2000

PROVISIONAL APPLICATION FOR PATENT TRANSMITTAL LETTER
UNDER 37 C.F.R. §1.53(c)

Box Provisional Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 C.F.R. §1.53(c).

-1-

Attorney Docket No.: BUNI-01000US0
/bim/buni.001

Express Mail No. EL504217576US
4/3/0-12:38

INVENTOR(s)/APPLICANT(s):

NAME RESIDENCE (City and Either State or Foreign Country)

Bunin, Barry A. San Bruno, California
Last, First M.I.

TITLE OF THE INVENTION (280 characters max):

SYSTEM AND METHOD FOR OBTAINING DISEASE TARGET SOLUTIONS

CORRESPONDENCE ADDRESS:

Please direct all correspondence concerning the above-identified application to the following address:

Brian I. Marcus, Esq.
FLIESLER, DUBB, MEYER & LOVEJOY LLP
Four Embarcadero Center, Suite 400
San Francisco, California 94111-4156
Telephone: (415) 362-3800

Please direct all telephone calls to the undersigned attorney at (415) 362-3800.

ENCLOSED APPLICATION PARTS (check all that apply):

Specification *Number of pages: 3* Small Entity Statement.
 Drawings *Number of sheets: 2* Other (specify) _____

METHOD OF PAYMENT:

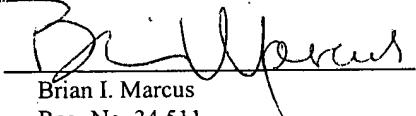
A check in the amount of \$ 75.00 to cover the filing fee (\$75.00 for Small Entity/ \$150.00 for other than a small entity) is enclosed.
 The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

X No.

— Yes, the name of the U.S. Government agency and Government contract number are:

Respectfully submitted,

By: 

Brian I. Marcus

Reg. No. 34,511

Date: April 3, 2000

FLIESLER, DUBB, MEYER & LOVEJOY LLP
Four Embarcadero Center, Suite 400
San Francisco, California 94111-4156
Telephone: (415) 362-3800

COPIES - 040300

-3-

Attorney Docket No.: BUNI-01000US0
/bim/buni.001

Express Mail No. EL504217576US
4/3/0-12:38

Express Mail Label No.: EL504217576US

UNITED STATES PROVISIONAL PATENT APPLICATION

FOR

SYSTEM AND METHOD FOR OBTAINING DISEASE TARGET SOLUTIONS

Inventor:

Barry A. Bunin

601.943.333.344.300

Patent The manner which the information is organized, generated, analyzed, and used

1. Get alpha version of software co-designed, initially on combinatorial chemistry, later on all synthetic chemistry and structural biology.

2. Use software plus a team of scientists to design initially libraries and latter more complicated disease targetsolutions.

3. Generate a range of human and computer solutions to the problems using a network of individual minds and an artificial intelligence neural network with both groups working with the same information and feedback loops to evaluate and improve all methods.

4. Share the information, with a short delay, with the rest of the scientists over the internet. Keep the code for how the information was generated and analyzed internal.

5. Evolve the database, software, and human team as appropriate for each problem. This acknowledges that there will be no general single solution and provide the impetus testing new models in a Darwinian sense.

6. Put in checkpoints, like Asimov's Robots rules of order to keep the system appropriately focused.

2 April 2000 Baum ABM

Dr. Barry A. Bunin

bunin@combinatorial.com

650-873-7704

Barry A. Bunin

3 April 2000

start | Scientific database of raw information
 i.e. The Electronic Combinatorial Index and/or structural information

1. Access to the same information

(optional)

2. Use a group of brains either collaborating and/or competing with the computer programs

Artificial intelligence, neural network or other computer program

A group of academic and industrial scientists (chemists first, then later biologists) working both collaboratively and independently

Design experiments initially to

generate libraries (simple problems based on synthetic information and the rate of reactions, etc.) at libraria's Production facility. Later attack more complex problems like increasing binding affinity based on SAR and protein modelling

3. Test hypotheses, use results to

expand the initial scientific database of structural information. Evolve both the scientists' and computer's understanding. Apply to either the same, a similar, or entirely different problem

Results
Feedback to start

Note: This method is analogous to mutation, crossover, and gene swapping except the synthetic combinatorial chemistry (other) information is carried through groups of scientists and various evolving computer programs

FORMALITIES LETTER



OC00000005164000



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
60/194,338	04/03/2000	Barry A. Bunin	

Brian I Marcus Esq
Fliesler Dubb Meyer & Lovejoy LLP
Four Embarcadero Center Suite 400
San Francisco, CA 94111-4156

Date Mailed: 06/07/2000

NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(c)

Filing Date Granted

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is insufficient.
Applicant must submit \$ 75 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$50 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 125.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

0360
3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

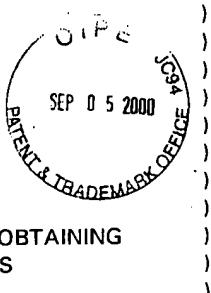
PATENT APPLICATION

Inventor(s): Barry A. Bunin

SC/Serial No.: 60/194,338

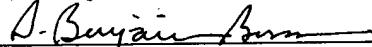
Filed: April 3, 2000

Title: SYSTEM AND METHOD FOR OBTAINING
DISEASE TARGET SOLUTIONS



CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on August 28, 2000.



(Attorney Signature)

D. Benjamin Borson, Ph.D., Reg. No. 42,349

Signature Date: August 28, 2000

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated June 7, 2000, enclosed are the following documents in connection with the above-identified application:

- Copy of Notice to File Missing Parts -- Filing Date Granted
- Declaration for Patent Application
- Assignment and Assignment Recordation Form Cover Sheet
- Power of Attorney
- Statement(s) of small entity status
- Petition for an Extension of Time pursuant to 37 C.F.R. §1.136(a) for responding to the Notice to File Missing Parts
- Information Disclosure Statement under 37 C.F.R. §1.56

- 1 -

Preliminary Amendment

Total Fee

The Total Fee associated with this communication has been calculated as shown below:

<input type="checkbox"/>	Patent application filing fee	\$
<input checked="" type="checkbox"/>	Net fee for extension of time	\$55.00
<input type="checkbox"/>	Assignment recording fee (\$40.00)	\$
<input checked="" type="checkbox"/>	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or declaration:	
<input type="checkbox"/>	Large Entity \$130.00	\$
<input type="checkbox"/>	Small Entity \$ 50.00	\$50.00

TOTAL FEE DUE: \$105.00

Method of Payment of Fees

- A check in the amount of the TOTAL FEE DUE is enclosed.
- The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: August 28, 2000

By: D. Benjamin Borson
D. Benjamin Borson, Ph.D.
Reg. No. 42,349

FLIESLER, DUBB, MEYER & LOVEJOY LLP
Four Embarcadero Center, Suite 400
San Francisco, California 94111-4156
Telephone (415) 362-3800

- 2 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

PATENT APPLICATION

Inventor(s): Barry A. Bunin



SC/Serial No.: 60/194,338

Filed: April 3, 2000

Title: SYSTEM AND METHOD FOR OBTAINING
DISEASE TARGET SOLUTIONS

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8
I hereby certify that this correspondence is being deposited in the
United States Postal Service with sufficient postage as first class mail in an
envelope addressed to Assistant Commissioner for Patents, Washington,
D.C. 20231, on August 28, 2000.

D. Benjamin Borson (Attorney Signature)
D. Benjamin Borson, Ph.D., Reg. No. 42,349
Signature Date: August 28, 2000

PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. §1.136

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In the Notice to File Missing Parts of Provisional Application dated June 7, 2000,
a shortened period for response was set to expire on August 7, 2000.

Pursuant to 37 C.F.R. §1.136(a), Applicant hereby petitions the Commissioner for
an extension of time for responding to the Office Action up to and including
September 7, 2000.

08/08/2000 REQUEST FOR EXTENSION OF TIME

02 PCT-815

08/08/2000

- 1 -

Attorney Docket No.: LBRA1006US0 SRM/DBB
dbb/lbra/1006/1006.008.wpd

08/28/0-10:34

The amount of the petition fee set by 37 C.F.R. §1.17 is determined as follows:

<u>Fee (Large Entity/Small Entity)</u>	<u>Extended Month</u>
<input checked="" type="checkbox"/> \$110.00/\$55.00	First
<input type="checkbox"/> \$380.00/\$190.00	Second
<input type="checkbox"/> \$870.00/\$435.00	Third
<input type="checkbox"/> \$1,360.00/\$680.00	Fourth
<input type="checkbox"/> \$1,850.00/\$925.00	Fifth

TOTAL PETITION FEE \$ 55.00

The TOTAL PETITION FEE is included with the payment of other papers filed together with this petition.

The Commissioner is authorized to charge any underpayment or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

The other papers enclosed or associated with this communication include:

- A Response to Notice to File Missing Parts of Provisional Application dated June 7, 2000.
- A reply to the Office action was previously filed on _____.
- A reply to the Office action is filed herewith.
- A reply will be filed subsequently.
- A continuation application is filed herewith.
- A divisional application is filed herewith.
- A continuation-in-part application is filed herewith.
- A continued prosecution application is filed herewith.

"Small Entity" status for this application has been established by a statement previously filed.

X "Small Entity" status for this application is being established by a statement submitted herewith.

 Other: _____

Respectfully submitted,

Date: August 28, 2000 By: D. Benjamin Borson
D. Benjamin Borson, Ph.D.
Reg. No. 42,349

FLIESLER, DUBB, MEYER & LOVEJOY LLP
Four Embarcadero Center, Suite 400
San Francisco, California 94111-4156
Telephone: (415) 362-3800

- 3 -

Attorney Docket No.: LBRA1006US0 SRM/DBB
dbb/lbra/1006/1008.008.wpd

08/28/0-10:34

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

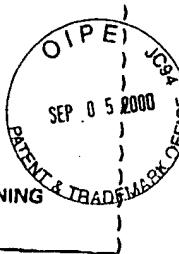
In re Application

PATENT APPLICATION

Inventor(s): Barry A. Bunin

SC/Serial No.: 60/194,338

Filed: April 3, 2000

Title: SYSTEM AND METHOD FOR OBTAINING
DISEASE TARGET SOLUTIONSSTATEMENT CLAIMING SMALL ENTITY STATUS
37 C.F.R. §1.8(f) AND §1.27(b) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. §1.9(c) for purposes of paying reduced fees under §41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention identified by the above TITLE and INVENTOR(S), and described in:

the Specification filed herewith
 the Application having the above SC/Serial No. and Filed date
 Patent No. _____ issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. §1.8(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. §1.8(d) or a nonprofit organization under 37 C.F.R. §1.8(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

No such person, concern, or organization.
 Persons, concerns or organizations listed below. *

* Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. §1.27)

Attorney Docket No.: LBRA 1006 SRM/DBB
 dbb/lbra/1006,004

-1-

108,001:120187
 08/18/00-14:59

NAME: Barry Bunin, CEO + Co-founder of Libraria
 ADDRESS: 171 Elm Ave, San Bruno, CA 94066
 Individual Small Business Concern Nonprofit Organization

NAME: _____

ADDRESS: _____

Individual Small Business Concern Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 C.F.R. §1.28(b)).

Barry A. Bunin
 Name of Inventor


 Signature of Inventor

Date: August 16, 2000

Name of Inventor

Signature of Inventor

Date: _____

Name of Inventor

Signature of Inventor

Date: _____

Name of Inventor

Signature of Inventor

Date: _____

Title 37, Code of Federal Regulations, §1.9(c-1)

(c) An independent inventor as used in this chapter means any inventor who (1) has not assigned, granted, conveyed, or licensed, and (2) is under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not likewise be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization under this section.

(d) A small business concern as used in this chapter means any business concern meeting the size standards set forth in 13 C.F.R. Part 121 to be eligible for reduced patent fees. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20411.

(e) A nonprofit organization as used in this chapter means (1) a university or other institution of higher education located in any country; (2) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1954 (26 U.S.C. 501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 U.S.C. 501(a)); (3) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 U.S.C. 201(l)); or (4) any nonprofit organization located in a foreign country which would qualify as a nonprofit organization under paragraphs (c) (2) or (3) of this section if it were located in this country.

(f) A small entity as used in this chapter means an independent inventor, a small business concern or a nonprofit organization eligible for reduced patent fees.

Title 13, Code of Federal Regulations, §121.12

121.12 Small business for paying reduced patent fees. (a) Pursuant to Pub. L. 97-247, a small business concern for purposes of paying reduced fees under 35 U.S. Code 41 (a) and (b) to the Patent and Trademark Office means any business concern (1) whose number of employees, including those of its affiliates, does not exceed 500 persons and (2) which has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization under this section. For the purpose of this section concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. The number of employees of the business concern is the average over the fiscal year of the persons employed during each of the pay periods of the fiscal year. Employees are those persons employed on a full-time, part-time or temporary basis during the previous fiscal year of the concern.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR ACCESS TO AN ABANDONED APPLICATION UNDER 37 CFR 1.14

Bring completed form to:
File Information Unit
Crystal Plaza Three, Room 1D01
2021 South Clark Place
Arlington, VA
Telephone: (703) 308-2733

In re Application of

Bunin

Application Number:

60/194,338

Filed

Apr. 3, 2000

Paper No. HA

I hereby request access under 37 CFR 1.14(a)(1)(iv) to the application file record of the above-identified ABANDONED application, which is identified in, or to which a benefit is claimed, in the following document (as shown in the attachment):

United States Patent Application Publication No. 2002/0049548, page, _____ line _____

United States Patent Number _____, column _____, line, _____ or

WIPO Pub. No. _____, page _____, line _____

Related Information about Access to Pending Applications (37 CFR 1.14):

Direct access to pending applications is not available to the public but copies may be available and may be purchased from the Office of Public Records upon payment of the appropriate fee (37 CFR 1.19(b)), as follows:

For published applications that are still pending, a member of the public may obtain a copy of:

the file contents;

the pending application as originally filed; or

any document in the file of the pending application.

For unpublished applications that are still pending:

(1) If the benefit of the pending application is claimed under 35 U.S.C. 119(e), 120, 121, or 365 in another application that has: (a) issued as a U.S. patent, or (b) published as a statutory invention registration, a U.S. patent application publication, or an international patent application publication in accordance with PCT Article 21(2), a member of the public may obtain a copy of:

the file contents;

the pending application as originally filed; or

any document in the file of the pending application.

(2) If the application is incorporated by reference or otherwise identified in a U.S. patent, a statutory invention registration, a U.S. patent application publication, or an international patent application publication in accordance with PCT Article 21(2), a member of the public may obtain a copy of:

the pending application as originally filed.

Billy M. W.

Signature

Billy M. W.

Typed or printed name

Aug 10, 2004

Date

FOR PTO USE ONLY

Approved by: PAT

AUG 10 2004

Unit: File Information

This collection of information is required by 37 CFR 1.14. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the burden of this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. BRING TO: File Information Unit, Crystal Plaza Three, Room 1D01, 2021 South Clark Place, Arlington, VA.



US 20020049548A1

(19) United States

(12) Patent Application Publication (10) Pub. No.: US 2002/0049548 A1
Bunin (43) Pub. Date: Apr. 25, 2002

(54) CHEMISTRY RESOURCE DATABASE

(52) U.S. Cl. 702/32; 702/30

(75) Inventor: Barry A. Bunin, Santa Clara, CA (US)

(57) ABSTRACT

Correspondence Address:

BEYER WEAVER & THOMAS LLP
P.O. BOX 778
BERKELEY, CA 94704-0778 (US)

(73) Assignee: Libraria, Inc.

(21) Appl. No.: 09/825,135

(22) Filed: Apr. 2, 2001

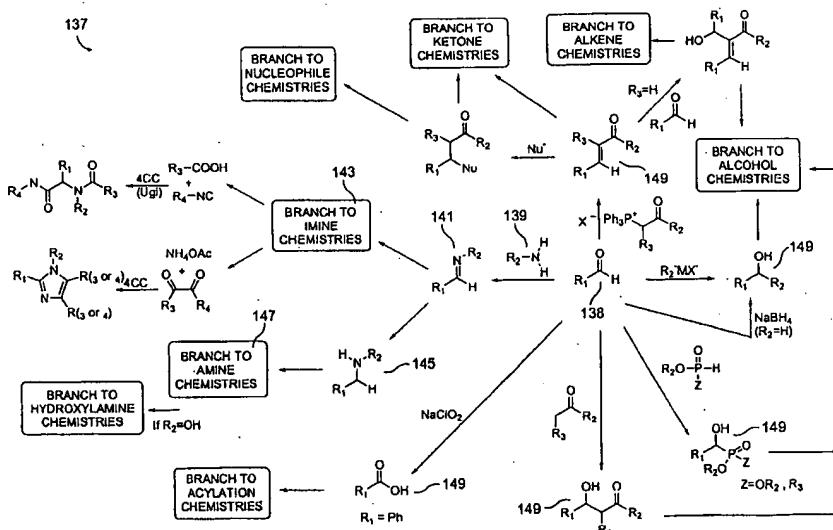
Related U.S. Application Data

(63) Non-provisional of provisional application No. 60/194,338, filed on Apr. 3, 2000. Non-provisional of provisional application No. 60/198,482, filed on Apr. 18, 2000.

Publication Classification

(51) Int. Cl.⁷ G01N 31/00; G06F 19/00

Chemical software tools employ databases and associated systems that store, manipulate, and investigate chemical information that is organized by reaction chemistry. Specific procedures and methods are associated with specific reactions. Further, such tools may associate reliability ratings with individual reactions to identify robust reactions from among groups of related reactions. For example, a particular benzyl amine may be given a high reliability rating because it is superior to other aromatic primary amines in its ability to form amides (the reaction chemistry under consideration). Further, the software tools may automatically suggest/generate diverse libraries for particular precursors, classes of precursors, or reaction chemistries. This is accomplished by automatically generating a flexible group of reaction chemistries based on like procedures and methods for a particular precursor or class of precursors. Preferably, these software tools are designed to allow continuous improvement and refinement by feedback from humans and/or artificial intelligence systems.

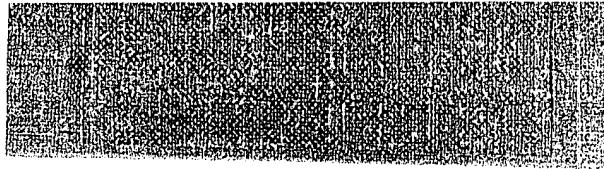


04/18/00

	Subclass
Class	ISSUE CLASSIFICATION

PROVISIONAL
APPLICATION
NUMBER

SCANNED



Form PTO-1625
(Rev. 6/99)

2) VR

KG JR

(FACE)

PATENT APPLICATION

60198482

JC541 U. S. PTO
60/198482APPROVED FOR LICENSE
INITIALS 4/27/00 / 10Date
Entered
or
Counted**CONTENTS**Date
Received
or
Mailed

1. Application _____ papers.
2. *Request for access* 8-10-04
3. _____
4. _____
5. _____
6. _____
7. _____
8. _____
9. _____
10. _____
11. _____
12. _____
13. _____
14. _____
15. _____
16. _____
17. _____
18. _____
19. _____
20. _____
21. _____
22. _____
23. _____
24. _____
25. _____
26. _____
27. _____
28. _____
29. _____
30. _____
31. _____
32. _____

POSITION	INITIALS	ID NO.	DATE
FEE DETERMINATION			
O.I.P.E. CLASSIFIER			
FORMALITY REVIEW			
RESPONSE FORMALITY REVIEW	(PL780)	(6-4-87-001)	



Bib Data Sheet



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER 60/198,482	FILING DATE 04/18/2000 RULE	CLASS -	GROUP ART UNIT -	ATTORNEY DOCKET NO. -
APPLICANTS Bunin Barry, San Bruno, CA ;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED				
** 06/18/2000				
Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY CA	SHEETS DRAWING -	TOTAL CLAIMS -
Verified and Acknowledged	Examiner's Signature Initials			INDEPENDENT CLAIMS -
ADDRESS				
Sheldon R Meyer Esq Fliesler Dubb Meyer & Lovejoy LLP Four Embarcadero Center Suite 400 San Francisco , CA 94111-4156				
TITLE				
Tying an evolving database of chemical information to flexible services				
FILING FEE RECEIVED 150	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other <input type="checkbox"/> Credit		

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

04/25/2000 ZABRAHAI 00000129 60198482
01 FC:114 150.00 OP

Void date: 04/25/2000 ZABRAHAI
04/25/2000 ZABRAHAI 00000129 60198482
01 FC:114 150.00 OP

04/25/2000 ZABRAHAI 00000130 061325 60198482
01 FC:114 75.00 CH 75.00 OP

PTO-1556
(5/87)

04-19-00

A/PROV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application) PATENT APPLICATION
04/18/00)
Inventor(s): Barry Bunin)
SC/Serial No.: Unknown)
Filed: Herewith)
Title: TYING AN EVOLVING DATABASE OF)
CHEMICAL INFORMATION TO)
FLEXIBLE SERVICES)

JCS41 U.S. PTO
60/198482
04/18/00

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"
UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EL504218188US
Date of Mailing: April 18, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to Box Provisional Patent Application, Assistant Commissioner for Patents, Washington, DC 20231 and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

Matthew A. Mahling (Signature)
Matthew A. Mahling
Signature Date: April 18, 2000

**PROVISIONAL APPLICATION FOR PATENT TRANSMITTAL LETTER
UNDER 37 C.F.R. §1.53(c)**

Box Provisional Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 C.F.R. §1.53(c).

INVENTOR(s)/APPLICANT(s):

NAME

RESIDENCE (City and Either State or Foreign Country)

Barry Bunin

San Bruno, California

Last, First M.I.

-1-

Attorney Docket No.: LBRA1007(PR)SRM/DBB
dbb/lbra/1007/1007.001

TITLE OF THE INVENTION (280 characters max):

TYING AN EVOLVING DATABASE OF CHEMICAL INFORMATION TO FLEXIBLE SERVICES

CORRESPONDENCE ADDRESS:

Please direct all correspondence concerning the above-identified application to the following address:

Sheldon R. Meyer, Esq.
FLIESLER, DUBB, MEYER & LOVEJOY LLP
Four Embarcadero Center, Suite 400
San Francisco, California 94111-4156
Telephone: (415) 362-3800

Please direct all telephone calls to the undersigned attorney at (415) 362-3800.

ENCLOSED APPLICATION PARTS (check all that apply):

Specification *Number of pages: 14* Small Entity Statement
 Drawings *Number of sheets: _ _* Other (specify) _____

METHOD OF PAYMENT:

A check in the amount of \$ 75.00 to cover the filing fee (\$75.00 for Small Entity/ \$150.00 for other than a small entity) is enclosed.
 The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

No.

Yes, the name of the U.S. Government agency and Government contract number are:

Respectfully submitted,

Date: April 18, 2000

By: D. Benjamin Borson
D. Benjamin Borson, Ph.D.
Reg. No. 42,349

FLIESLER, DUBB, MEYER & LOVEJOY LLP

Four Embarcadero Center, Suite 400
San Francisco, California 94111-4156
Telephone: (415) 362-3800

Libraria's Broad Patent Application(s) Raw Information

Patent application(s) tentative title:

“Tying an Evolving Database of Chemical Information to Flexible Services”

I. Introduction, Purpose, and Scope:

- A. The Purpose of this patent is to cover (but is not limited to):
 - 1. The organization of the information
 - 2. The search of the information
 - 3. The evolution of the information
 - 4. Tying chemical services to the information
- B. The field of "Combinatorial Chemistry"
 - 1. History and relationship to all synthesis
 - 2. Current state of the art
 - 3. How tying flexible services to "The Electronic Combinatorial Index" format represents a novel, non-obvious product(s)
- C. The field of "Artificial Intelligence"
 - 1. Previous applications to chemistry (ironically the Dendral project at Stanford applying AI to molecular structural identification based on mass spectroscopy data was one of the first expert systems published)
 - 2. Expert Systems
 - a. Creation of databases
 - b. Agents to search databases
 - c. Recent advances (including web-industry technology, multi-agent teams, the human/computer interface, and inductive learning in flexible hypothesis space)
- D. The proposal is to build a system that grows information databases and searches information databases with a team of scientists and artificial intelligence capabilities starting with combinatorial chemistry (the most general and reliable synthetic methods) and having feedback loops that help both groups learn. At every stage when practical the information system will be tied to real services (for example, the synthesis of libraries and individual compounds).
- E. Network approaches and open systems for information and services
- F. Possibility for multiple patents:
 - 1. Patents related to the organization of chemical (and other) information
 - 2. Patents related to the Evolution of the organization of chemical (and other) information
 - 3. Patents on the search capabilities of the chemical database
 - 4. Patents related to the organization of precursors and selection (i.e. software, sets, etc.)
 - 5. Patents on the methods for tying flexible services to these patents

卷一百一十一

II. Building a scaleable architecture for synthetic and related databases

- A. Current efforts with static databases
- B. Our potential architecture described in gory detail (while acknowledging the final product(s) will change, imagine each inner circle/database is a subset of the next larger circle graphically like a bullseye for darts):
 - 1. Central position is "The Electronic Combinatorial Index"
 - 2. Second circle includes widely used chemistry texts and reference works
 - 3. Third circle includes leading references from the second circle
 - 4. Fourth circle includes leading journals
 - 5. Fifth circle includes chemical databases that are commercially available
- C. Create a simple, uniform format with actual procedures and reliability ratings that are simple enough for most humans to easily use yet robust enough for an artificial intelligence computer program to work with and evolve additional tasks, information, and networks
- D. Detailed description of a system that can evolve to include and work with:
 - 1. Related fields such as structural biology and drug discovery
 - 2. Unrelated fields such as economics and psychology database
- E. Examples of evolved utility with open systems
 - 1. Sciquest and Chemdex offerings
 - 2. Web search engines
 - 3. Prous science
 - 4. Dendral and meta-Dendral
 - 5. Aldrich chemical catalog
 - 6. Cambridge software's web portal

III. Detailed description for tying chemical services to the information databases

IV. Network approach with open systems to develop:

- A. New applicable chemistries
- B. New AI applicable technologies
- C. Unique groups of experts to interact with the evolving database of information and to suggest improvements/additions after each use (perhaps for a discounted price or similar benefit, etc.).

I. Introduction, Purpose, and Scope:**A. The Purpose of this patent is to cover (but is not limited to):**

The field of synthesis has evolved from observations and experiments of scientists for over a century. The information has been documented in the primary literature as well as a number of reference texts on synthetic methods. More recently combinatorial chemistry has rapidly developed as a subset of all synthetic chemistry particularly in the last decade. Combinatorial chemistry can be thought of as the most general and reliable methods for high-throughput synthesis. It often involves automation and has been likened to the industrial revolution finally meeting synthetic chemistry. The technology has increased the ability of a chemist to rapidly elucidate structure-activity relationships but has often been under exploited for a number of reasons. Primary roadblocks include the lack of sufficient information on reliable methods for library generation and the difficulties associated with optimizing reaction conditions to provide the desired products in high yield. These patent(s) describe how one can create, organize, search, evolve and actually use databases of synthetic information in conjunction with combinatorial chemistry services.

B. Summary of Combinatorial Chemistry:

Note: The following pages as background material are from the early chapters of my widely used text on combinatorial chemistry "The Combinatorial Index".

"The goal of this book is to provide practical information about the combinatorial synthesis of small molecules for a range of individuals interested in the field, including bench chemists, lab managers, medicinal chemists, agrochemists, academic chemists, computational chemists, and even biologists. Regardless of one's experience in combinatorial chemistry, many questions inevitably arise, often simple questions such as: What has already been done? How was it done? Where can the necessary materials or instruments be found? With an explosion in information, the organization of the information becomes crucial. The Combinatorial Index provides a compilation of the synthetic information for constructing combinatorial libraries of small molecules.

The synthesis and screening of libraries of nonbiological oligomers (see Appendix 1) and nonpolymeric organic compounds ("small molecules") have rapidly become the focus of intensive research efforts. The Combinatorial Index describes the methods reported in the literature for the rapid preparation of functionally diverse small molecules. Points of interest, representative examples, and literature procedures summarize each method. In addition to focusing on small molecules, The Combinatorial Index is a compilation derived exclusively from journal articles (i.e., patent literature is not included).⁰ Comments on the original studies are made; however, the majority of the information presented is factual and is thus reported as described in the primary literature. In cases where the copywritten material could not be obtained, the procedures were paraphrased as literature summaries. References are provided at the beginning of each new heading. Although this compilation provides an overview of the combinatorial synthesis of small molecules, it is primarily a resource book.

Many combinatorial libraries are currently constructed using solid-phase synthesis. Therefore, following a brief introduction and background, three chapters are devoted to the different linkers, reactions, and analytical techniques for solid-phase synthesis. Although many reactions on support are high yielding, significant optimization is often required before they are efficient and general enough to be used to construct combinatorial libraries. It is hoped that providing examples of different reactions along with the specific conditions that were necessary for optimization will assist related combinatorial studies. Representative examples are given to help assess the generality and limitations of the different methods. Reliable methods for reactions such as substitutions, cyclizations, condensations, and Suzuki couplings are included specifically because they can be used in different contexts.

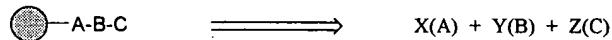
Doing organic chemistry on solid support has been likened to working with a blindfold on because of the limited analytical and purification techniques available relative to those available in solution. This is one of the arguments in favor of building libraries in solution. The most direct way to

evaluate the fate of a particular set of reaction conditions on support is to cleave the material off of support and rigorously characterize the products. Unfortunately, this is not always possible because intermediates are often unstable to cleavage conditions. Furthermore, particularly in a multistep sequence, there are often faster methods for determining whether a particular reaction worked (i.e., Fmoc quantitation). Detailed Procedure for a range of different quantitative and qualitative analytical methods for solid-phase synthesis are described in chapter 5.

A growing number of reports on solution libraries have appeared in the literature. Criteria and methods for building solution libraries are discussed in Chapter 6. Whether a library is prepared on support or in solution is often dictated by the type of chemistry being developed (or vice versa, the type of chemistry being developed is often dictated by whether a library is prepared on support or in solution). High-throughput purification techniques such as solid-phase and liquid-phase extractions are often critical for preparing solution libraries that are useful for screening. The challenges associated with the construction of solution libraries (e.g., solubility and purification) can be quite different from the challenges associated with solid-phase combinatorial synthesis (e.g., monitoring reaction progress and scale up). Although there are many differences between the solution-phase and solid-phase strategies for generating libraries, in both cases the synthetic challenge is to develop reaction conditions that are general and high yielding.

Often I have chosen to be inclusive, rather than selective, in citing examples from the literature. Even so, due to the large body of literature, many examples are cited in related studies. For example, in the sections discussing subjects such as the formation of amides and esters, only selected examples are provided for obvious reasons. I have made every effort to be both fair and informative about the strengths and weaknesses of the various methods in this compilation. I apologize for any topics that were underrepresented or misrepresented. I would appreciate being notified at bunin@combinatorial.com of new or incomplete information for incorporation into future editions or online supplements.

A salient feature of combinatorial synthesis is that a large amount of diversity can be generated from a relatively small number of building blocks. A representative example of a simple combinatorial library prepared on solid support from three sets of building blocks, A, B, and C, is illustrated below. From only 10 derivatives of each



$X + Y + Z = \text{Total number of variables used as inputs in the library}$

$(X)(Y)(Z) = \text{Total number of compounds generated from the library syntheses}$

building block, a library of 1000 trimers can be generated; with 100 derivatives of each building block, 1,000,000 compounds can be accessed. With rapid access to such large numbers of compounds, new issues arise such as which compounds are the most useful to make and how to keep track of the large amount of information that is generated.

Currently, there are a number of distinct approaches for generating combinatorial libraries *in vitro*. The compounds can be synthesized in a spatially separate format or as pooled mixtures. A number of methods for identifying active compounds in a mixture have been developed. Obviously, identifying an active compound is straightforward when the compounds are synthesized in a spatially separate format. A brief overview of the different methods for preparing synthetic combinatorial libraries follows. More detailed discussions can be found in a number of review articles.^{1, 2, 3, 4}

Methods for Generating Combinatorial Libraries.

A. Spatially Separate Synthesis.

The most straightforward approach for library analysis is to keep the different compounds (or other variables) spatially separate in a parallel array. The primary advantage of keeping the compounds spatially separate is that it removes some of the ambiguities associated with pooling compounds. When the compounds are spatially separate, direct structure-activity relationships are obtained from biological

evaluation. Analytical evaluation of the chemical integrity of the compounds is also straightforward when the compounds are spatially separate. The primary disadvantage of spatially separate libraries is that the number of compounds that can be synthesized is more limited.

The first combinatorial library was prepared in a spatially separate format by Geysen and co-workers in 1984.⁵ They developed functionalized pins for solid-phase peptide synthesis and epitope analysis. The pins were configured to be compatible with 96-well microtiter plates. The pin technology has been improved using different polymers, as well as higher loading levels and functional linkers to accommodate other chemical applications.⁶ Fodor and co-workers at Affymax have developed photolithographic methods for building large libraries on a silicon wafer.⁷ Large spatially separate libraries (100,000 compounds) can be prepared with this method. However, because it requires photolabile protecting groups and support-bound biological assays, the technology is primarily being applied to DNA diagnostic tests.⁸ A number of new technologies for the preparation of spatially separate libraries on resin and in solution are currently being developed.

B. Split Synthesis. There are a number of different pooling strategies. The earliest of these, developed independently by Furka,⁹ Lam,¹⁰ and Houghten,¹¹ employ a split and mix procedure to generate mixtures of peptides. In a split synthesis, a quantity of resin is split into equal-sized portions in separate reaction vessels and reacted with different monomers. After the reactions are complete, the resin is pooled together and thoroughly mixed. A common protecting group can be removed, or a common transformation can be performed, in a single reaction vessel. For the coupling of a second monomer, the resin is split again, and the process is repeated until the end of the combinatorial synthesis. To couple different building blocks, such as activated amino acids, the resin must be split into separate reaction vessels to allow reactions with different rates to be driven to completion.¹²

There are a number of techniques for identifying biologically active components from a library prepared by a split synthesis. The active components in a mixture can be isolated by deconvolution studies such as an iterative resynthesis and evaluation of smaller pools. A portion of the resin can be saved at each step to facilitate the iterative resynthesis. In addition, orthogonal,¹³ positional,¹⁴ and indexed¹⁵ libraries all use pooling strategies that minimize the amount of deconvolution required.

The combinatorial methods initially developed for peptide synthesis have also been applied to the combinatorial synthesis of unnatural biopolymers and small molecules. In one early example, high-affinity ligands to 7-transmembrane G-protein-coupled receptors (7TM/GPCR) were identified from the split synthesis of a diverse peptoid library.¹⁶

At the end of any split synthesis, each individual bead theoretically contains a single product, since all of the sites on any particular bead have been exposed to the same synthetic reagents. "One-compound, one-bead" approaches have been developed to identify the active components in a biological assay without resorting to a time-consuming iterative resynthesis. With certain assays of support-bound compounds, an active compound from a single resin bead is identified after it binds with a radiolabeled or fluorescent-labeled receptor.¹⁷ After active components on support are detected and isolated, the chemical structure can be determined using a method such as Edman degradation for the identification of support-bound peptides. Methods for the partial release of compounds off the support have been developed for biological evaluation in solution. After biological evaluation, the compound that remains on the resin beads can be used for structural identification.¹⁸

A conceptually different approach to deconvoluting active components from a library prepared by split synthesis involves a molecular tagging scheme. In this approach, readable tags that encode the reaction sequence are attached to resin. DNA was an obvious choice for encoding,¹⁹ since that is what Nature uses. Unfortunately, DNA is not chemically stable under many of the reaction conditions frequently used in organic synthesis. To circumvent this problem, encoding has been performed with peptides prepared from amino acids that have relatively unreactive side chains¹⁷ or GC-EC tags that are inert to most of the reaction conditions typically employed.²⁰ The advantages of the GC-EC tags, developed by Still and co-workers, are that they can be both detected at less than 0.1 pmol and attached directly to polystyrene via carbene chemistry. Thus, the method does not require an orthogonal protecting strategy. Radiofrequency tagging strategies have also been developed as an alternative method for encoding libraries on resin.^{21,22} Alternative approaches to generating combinatorial libraries and optimizing biological activity, such as genetic algorithms, are currently being investigated.^{23,24}

At least as important as the format in which libraries are prepared are the classes of compounds that are accessible. This compilation describes synthetic methods and analytical techniques to assist in the development of chemistry for combinatorial libraries." (from Bunin, B. A. The Combinatorial Index, Ch. 1-2, 1998, Academic Press).

By expanding the book to a suite of software products and services there is an opportunity to create rapidly accelerate drug discovery in a way that currently non-obvious to the ordinary chemist. A key component to this strategy will be the ability to expand the initial database on combinatorial chemistry to incorporate all synthetic chemistry. Another key component will be to incorporate flexible services as part of the software package. The way in which the database will evolve is the final critical component. This will tap heavily into related emerging fields of artificial intelligence.

C. The field of "Artificial Intelligence"

The field of Artificial Intelligence roots can be traced to the now famous Turing test for computer intelligence.²⁵ The basic postulate is that rather than ask if computers can think, the more testable question is given a series of questions can an interrogator determine if the typewritten answers are coming from a human or a computer. A wealth early studies in the field can be found in the classic book Computers and Thoughts for more detailed background.²⁶

Ironically, one of the first applications (expert systems) to use AI was the Dendral project that assisted with molecular structure identification based on mass spectroscopy data.^{27, 28, 29} In the Dendral program was a collaboration initiated between Feigenbaum, Lederberg, Buchanan, and Djerassi to elucidate chemical structure at a high level of competence. Given a molecular formula, the spectrographic data, and encoded heuristic knowledge of organic chemists, the Dendral interactive program explores possible molecular configurations in the search for the true structure. The project helped elucidate some of the basic mechanisms of hypothesis generation and evaluation. The results of the project suggested that knowledge was as important as reasoning in these systems. In any case, today there are many examples where artificial intelligence has been used to generate expert systems with varying degrees of success.

Expert systems attempt to replicate the decision making process of a human expert in a limited field. It consists of three components: a knowledge base, decision rules, and an inference engine.³⁰ As with all developing technologies, without an appropriate problem they are academic endeavors. The real utility involves how a technology (in this case evolving technologies of artificial intelligence and combinatorial chemistry) is applied to a specific problem. We have a unique set of interrelated problems that can be solved with these technologies leading to efficiencies to society, initially in the field of drug discovery, that are not currently obvious.

There have been a number of current advances that allow us to create products not previously available. In particular, because of the nature of the problem we can time the development of future products with the development of both growing fields. A few examples of current advances relevant to the problems include but are not limited to web-industry technology,³¹ multi-agent teams,³² the human/computer interfaces,³³ and inductive learning in flexible hypothesis space.³⁴ These trends have implications for overcoming the challenges to feedback-directed optimization in software database development. Current advances in the reasoning component will receive human checks and balances in the development of a new types of scientific databases linked to services. This...

D. The Proposal:

To build a system that grows information databases and searches information databases with a team of scientists and artificial intelligence capabilities starting with

combinatorial chemistry (the most general and reliable synthetic methods) and having feedback loops that help both groups learn. To maintain relevance at each step the information must be evaluated and corrected by humans and computer programs until it is evident which is better suited for which specific tasks. This could be done with crossover of information so both teams collaborate and compete. At every stage when practical the information system will be tied to real services (for example, the synthesis of libraries and individual compounds).

E. Network approaches and open systems for information and services

In the long run, the key to expanding the systems will be to make it open and compatible with outside parties. This approach is applicable to developing the database, developing the search engines, developing the basic technologies (both AI and chemical), and interacting with other databases. The epitome of this approach is the attraction of the Internet. In our case, there will be third party contributions to content, basic research, and software architecture. At a certain point there will be a positive spiral that creates uniquely evolving database information products (initially in chemistry). Part of the architecture of the suite of products will be a filter of suggestions and additional contributions.

F. The possibility for multiple patents:

Although the stated purpose of this document is "to build a system that grows information databases and searches information databases with a team of scientists and artificial intelligence capabilities starting with combinatorial chemistry (the most general and reliable synthetic methods) and having feedback loops that help both groups learn. At every stage when practical the information system will be tied to real services (for example, the synthesis of libraries and individual compounds)." This does not in any way limit the potential claims and future applications. The information contained in this document set the foundation for multiple possible patents, including but not limited to:

1. Patents related to the organization of chemical (and other) information
2. Patents related to the evolution of the organization of chemical (and other) information
3. Patents on the search capabilities of the chemical database
4. Patents related to the organization of precursors and selection (i.e. software, sets, etc.)
5. Patents on the methods for tying flexible services to these patents

These and other applications are described in detail in the following sections.

II. Building a scaleable architecture for synthetic and related databases

A. Current efforts with static databases

A book is a static database. It contains information, but has no ability to evolve its internal structure once the book is printed. An intermediate level is a software database that provides the user with a number of options to choose from and a number of possible answers to queries. A more advanced expert system would continuously evolve based on feedback loops. The Electronic Combinatorial Index database can evolve into something much greater than the initial static product. Procedures for combinatorial chemistry represent a subset of all synthesis, procedures for synthesis represent a cross section of procedures for drug discovery, procedures for drug discovery are a cross section of all chemistry and biology. The details of when and how the connections are made are critical to the growth of the product(s). The architecture will contain procedures (information) and deliverables (services) at multiple stages. The internal connections will become stronger as they are used

and the number of external connections will increase much like the development of an embryonic brain.

B. Our structure for evolving databases:

The central position will be a set of reliable methods for high-throughput combinatorial synthesis. This is analogous to the relationship combinatorial synthesis has to all synthetic methods. As previously mentioned, combinatorial synthesis represents the most general, expedient and robust synthetic methods because by their very nature they should be tolerant of a range of functional groups. While this central position will inevitably grow over time as additional scientists publish on combinatorial methods, the real growth in the database will be a result of the tentacles that reach into the more mature field of chemical synthesis as rather broadly defined.

The second position will include the most widely used chemistry referenced books judiciously selected. The procedures from the leading references will be included along with their lineage to the more general high-throughput synthesis methods. Examples of appropriate reference works for the second circle include, but are not limited to March's Organic Synthesis text, Green and Wuts' Protecting Groups in Organic Synthesis, Bodansky and Bodansky's Practice of Peptide Synthesis, The Encyclopedia of Organic Reagents. All of the facts and information from texts will be reformatted in a uniform simple manner for easy access by humans and computer programs and to avoid any copyright restrictions. Other reference texts that will be added to the second circle, include but are not limited to Organic Synthesis, Organic Reaction, Org. Syn Prep., and Comprehensive Heterocyclic Chemistry.

The third circle will include the leading references from the first two circles. When appropriate, this will lead to a chain of articles as commonly done when an individual learns about a new field while spending time in a chemistry library.

The fourth circle will actually start to include a systematic reorganization of the entire chemical literature in the journals. Reorganizing the information in a common format will have obvious advantages for the end user. This is similar to chem abstracts except that it will emphasize procedures, tie them to services, and make them part of an evolving database.

Once the inner circles reach a certain mass inertia it will be time to incorporate other databases. This is why it is critical to develop very robust search agents at the early stage of the project that are able to search other databases and vice-versa. The key to utility will be in the organization of the material and the simplicity (with enormous flexibility) of the search engine.

The methods for organization, representation, and uses of databases described herein should be applicable to both related and unrelated fields of knowledge.

C. Representation of information:

It will be important to create a simple, uniform format with actual procedures and reliability ratings that are simple enough for most humans to easily use yet robust enough for an artificial intelligence computer program to work with and evolve additional tasks, information, and networks

D. Detailed description of a system that can evolve to include and work with:

1. Related fields such as structural biology and drug discovery.
2. Unrelated fields such as economics and psychology database.

We are creating a chemo-informatics tool that is as easy and simple to use while still being scaleable and tied to services whenever possible. It will be an appropriate real life

valuable problem to evolve various competing artificial intelligence technologies and approaches. It will be an open system that is compatible with other databases and information searching methods. It will include agents to search for information that are guided by both an artificial intelligence program and a 24 hour available human expert in chemical database mining.

The chemo-informatics tool includes the most valuable information such as synthetic procedures with reliability ratings based on experimental data. All information will be one click away from a "shared risk" feasibility study and custom services. The services include but are not limited to the delivery of individual compounds, small libraries (bookends of 10-100), and large libraries (1000-100,000). With the right infrastructure, systems, and people we will routinely deliver custom services faster.

With our general diversity and targeted libraries we will include first generation actual and virtual libraries. The libraries will come with software for SAR trees describing follow-on libraries. This will include the option of using other software and biasing the SAR trees describing follow-on libraries with known structural information. These will be tools that can be used in any laboratory around the world. For example, each set of 2000 compounds (2D library) and 6000 compounds (3D library) on average will come with an option for a second generation library based on the SAR or a full blown-out library (perhaps in a split and mix format) if the customer wishes to hide the SAR. Both human and AI teams would collaborate and compete on the reaction condition optimization and SAR optimization problems.

The informatics package will be linked to a network of cross references to suggest related sets of compounds for synthesis. For example, a section from March's Organic Chemistry text might lead to series of papers that suggest a particular set of reaction conditions. Both the computer and humans will find patterns of "leading references". The key will be, over time, to include reliability ratings. The data output should include references, words, and schemes as provided by current chemical databases such as Bielstien while including addition outputs such as procedures and the potential to have a compound described in the literature made for you and delivered (following shared-risk feasibility). The customer would have the option of using our information, using our synthetic services, or a combination of the two offerings.

The organization based services would be initially targeted at the synthetic chemist. The value of the services would be proportional to the time, scale, and difficulty. Offerings would include but are not limited to "one step from Aldrich, three steps from Lancaster, two steps in Bielstien" for example. Reliability ratings will help with assessing difficulty.

A key component will be to have the structural and reaction information stored in a uniform manner so both chemists and AI programs get used to improving the network and making connections. Each time a human expert finds a new connection (s)he will notify the computer program and vice versa. The network technology will evolve on the coattails of web technology currently being paid for by Yahoo, Inkotomi, Googgle, and others in addition to in-house expertise.

The information and database services could be extended to include analytical data (theoretical and/or experimental), structural data on the molecules, biostructural data for more complex problems, chemical ordering information, and web-offerings. These are all related fields under the umbrella of drug discovery.

The information and database service could be extended to partially related fields like polymer synthesis and enzymology. A truly robust system could also be extended to unrelated fields. This approach could be used, with the judicious selection of starting points and experts, to organize medicine in a standard and simple format. The approach could be applied to any other field where significant bodies of information our guided by underlying logical principles. Obvious examples include psychology, law, engineering, architecture, journalism, economics, history, business, electronics, and the internet to mention some possibilities. To do this practically, since we will be late players in these fields, will require

a strong technology base using the state of the art in both human experts and artificial intelligence.

The guiding principles would be the same as those used in organizing the synthetic information. Namely, identify the most valuable content (such as synthetic procedures) and develop a uniform method of representation. For example, instead of randomly surfing the Internet, use peer reviewed content from scientific journals and books. We will carefully select the content to develop intuitive products. Finally, we will continue to offer services either by building in-house expertise or partnering if and when appropriate.

E. Examples of evolved utility with open systems

There are a number of offerings that have demonstrated the utility of open systems that leverage the efforts of others. In chemistry, Sciquest, Chemdex, Cambridge Soft's web portals are more recent examples. Historically, the Aldrich chemical catalog is a traditional example whereby chemicals are bought elsewhere and rebottled or made in house with the same result for the customer. To the extent possible, the Libraria flexible service offering will take into account a range of requests and then search the world's database of synthetic information for appropriate solutions.

III. Detailed description for tying chemical services to the information databases

Because we will be reorganizing the chemical information as it is added to the database, although it will be an ambitious task but we will be able to pick and choose what to include and to assign reliability ratings (either based on theoretical or actually experimental data). Some of this information will be obtained from third party laboratories.

Related to the concept of creating a uniquely consistent format for organizing chemical information is the ability to develop a system to tie a flexible range of services that are not currently possible to this information. As one example, instead of having a follow-on library with just the same reactions (which is the most straightforward approach both scientifically and logically) have a software program(s) and/or human(s) select from a range of chemistries with reliability ratings either as diverse or targeted sets of library products and services.

Another way to tie information to services is to organize flexible sets of precursors based on structural criteria in the literature (see, Lipinski, C. et al and Murcko, M. et al). This will be organized in a uniform, yet uniquely flexible organization of the data input variables for library generation (both for individual libraries or groups of libraries as described in the first example). The precursors can be organized and barcoded in rows and columns of a grid such as the industry standard 96-well microtiter plate. Additional information could be added to the precursor sets prior to internal or external use including but not limited to solubility data, reliability ratings (+/- or 1-10), aromatic/aliphatic, diverse/targeted, hydrophobic/hydrophilic, alpha/beta substituted, o,m,p-substituted, ring size, bicyclic/fused, etc. Customers would have the option of using the software tools or their own in the selection process. They could test the chemistry in their own laboratory (one place) with a subset of precursors and then generate the full library in our laboratory (second place) because of the careful preorganization and preselection of appropriate data input precursors. These preorganization of these sets of precursors would facilitate "shared risk" rapid feasibility studies on precursor compatibility with new chemistries. Finally, it would facilitate library design, feasibility, and generation functions.

The preorganization of both synthetic information and structurally relevant precursor sets will allow for more flexible chemical services. These could range from individual compound synthesis, to small sets (bookends), to large sets (full libraries). The key will be to build up a critical mass of intelligently organized, flexible chemical information (both in computers and people) to offer the greatest range of services. The guiding principles could be applied to other related and unrelated fields.

I. Network approach with open systems to develop:

- A. New applicable chemistries
- B. New AI applicable technologies

C. Unique groups of experts to interact with the evolving database of information and to suggest improvements/additions after each use (perhaps for a discounted price or similar benefit, etc.).

To truly realize the full potential of this approach would require a substantial effort to create industry standards with open systems and then to find ways to work with other experts in their various fields. For chemistries this would include experts from academia as well as combinatorial chemistry laboratories. A similar network could help evolve the artificial intelligence applications and technologies once a robust framework for programming is created. Finally, the extent to which the system tracks use and has feedback loops based on standard representations of data is the extent to which the system can evolve.

List of possible alliances:

For combichem:

Axys
DPI
Trega
ArQule
Cambridge Combinatorial
Molecumetics
NCE
3D Pharmaceuticals
Chemcodes
UC Berkeley
Harvard
Stanford

For webstrategy:
ChemNavigator
ChemRoutes

For software development:
Scivision
Tripos
Stanford
U of T, Austin

For web logistics:
Sciquest
Chemdex
Cambridge Soft

For building blocks:
Aldrich
Argonaut
Lancaster

For instrumentation:
Robbins/Genevac
Hewlett-Packard
Berger Instruments

Big Pharma (especially Merck)

Contact:

Dr. Barry A. Bunin
Tel 650-873-7704
Fax 650-873-7707
bunin@combinatorial.com

⁰ For a detailed review of recent solid-phase organic reactions including the patent literature, see Hermkens, P. H. H.; Ottenheijm, H. C. J.; Rees, D. *Tetrahedron* 1996, 52, 4527--4554.

¹ (a) Thompson, L. A.; Ellman, J. A. *Chem. Rev.* 1996, 96, 555--600. (b) Choong, I. C.; Ellman, J. A. *Annu. Rep. Med. Chem.* 1996, 31, 309--318.

² (a) Gallop, M. A.; Barrett, R. W.; Dower, W.; Fodor, S. P. A.; Gordon, E. M. *J. Med. Chem.* 1994, 37, 1233--1251. (b) Gallop, M. A.; Barrett, R. W.; Dower, W.; Fodor, S. P. A.; Gordon, E. M. *J. Med. Chem.* 1994, 37, 1385--1401.

³ (a) Jung, G.; Becksickinger, A. *Angew. Chem., Int. Ed. Engl.* 1992, 31, 367--383. (b) Früchtel, J. S.; Jung, G. *Angew. Chem., Int. Ed. Engl.* 1996, 35, 17--42.

⁴ Rinnova, M.; Lebl, M. *Collect. Czech. Chem. Commun.* 1996, 61, 171--231.

⁵ Geysen, H. M.; Meloen, R. H. Barteling, S. J. *Proc. Natl. Acad. Sci. U.S.A.* 1984, 81, 3998--4002.

⁶ Maeji, N. J.; Valerio, R. M.; Bray, A. M.; Campbell, R. A.; Geysen, H. M. *React. Polym.* 1994, 22, 203--212.

⁷ Fodor, S. P. A.; Read, J. L.; Pirrung, M. C.; Stryer, L.; Lu, A. T.; Solas, D. *Science* 1991, 251, 767--773.

⁸ Abbott, A. *Nature* 1996, 379, 392.

⁹ Furka, A.; Sebestyen, F.; Asgedom, M.; Dibo, G. *Int. J. Pept. Protein Res.* 1991, 37, 487--493.

¹⁰ Lam, K. S.; Salmon, S. E.; Hersh, E. M.; Hruby, V. J.; Kazmierski, W. M.; Knapp, R. J. *Nature* 1991, 354, 82--84.

¹¹ Houghten, R. A.; Pinilla, C.; Blondelle, S. E.; Appel, J. R.; Dooley, C. T.; Cuervo, J. H. *Nature* 1991, 354, 84--86.

¹² Houghten has found that the relative rates of amide bond formation on solid support with different amino acids were primarily dependent on the activated amino acid in solution. By adjusting the concentration of the amino acids to compensate for their relative rates, different amino acids can be pooled in the same reaction vessel.

13. Deprez, B.; Williard, X.; Bouirel, L.; Coste, H.; Hyafil, F.; Tartar, A. *J. Am. Chem. Soc.* **1995**, *117*, 5405-5406.

14. Pinilla, C.; Appel, J. R.; Blanc, P.; Houghten, R. A. *Biotechniques* **1992**, *13*, 901-905.

15. Pirrung, M. C.; Chen, J. *J. Am. Chem. Soc.* **1995**, *117*, 1240-1245.

16. Zuckermann, R. N.; Martin, E. J.; Spellmeyer, D. C.; Stauber, G. B.; Shoemaker, K. R.; Kerr, J. M.; Figliozzi, G. M.; Goff, D. A.; Siani, M. A.; Simon, R. J.; Banville, S. C.; Brown, E. G.; Wang, L.; Richter, L. S.; Moos, W. H. *J. Med. Chem.* **1994**, *34*, 2678-2685.

17. Vetter, D.; Tate, E. M.; Gallop, M. A. *Bioconjugate Chem.* **1995**, *6*, 319-322.

18. Lebl, M.; Patek, M.; Kocis, P.; Krchňák, V.; Hruby, V. J.; Salmon, S. E.; Lam, K. S. *Int. J. Pept. Protein Res.* **1993**, *41*, 201-203.

19. Needels, M. C.; Jones, D. G.; Tate, E. H.; Heinkel, G. L.; Kochersperger, L. M.; Dower, W. J.; Barrett, R. W.; Gallop, M. A. *Proc. Natl. Acad. Sci. U.S.A.* **1993**, *90*, 10700-10704.

20. Ohlmeyer, M. H.; Swanson, R. N.; Dillard, L. W.; Reader, J. C.; Asouline, G.; Kobayashi, R.; Wigler, M.; Still, W. C. *Proc. Natl. Acad. Sci. U.S.A.* **1993**, *90*, 10922-10926.

21. Moran, E. J.; Sarshar, S.; Cargill, J. F.; Shahbaz, M. M.; Lio, A.; Mjalli, A. M. M.; Armstrong, R. W. *J. Am. Chem. Soc.* **1995**, *117*, 10787-10788.

22. Nicolaou, K. C.; Xiao, X. Y.; Parandoosh, Z.; Senyei, A.; Nova, M. P. *Angew. Chem., Int. Ed. Engl.* **1995**, *34*, 2289-2291.

23. Weber, L.; Wallbaum, S.; Broger, C.; Gubernator, K. *Angew. Chem., Int. Ed. Engl.* **1995**, *1*, 2280-2282.

24. Sheridan, R. P.; Kearsley, S. K.; *J. Chem. Inf. Comput. Sci.* **1995**, *35*, 310-320.

25. Turing, A. M. *Mind*, **1950**, *59*, 433-460.

26. Feigenbaum, E. A.; Feldman, J. "Computers and Thought" AAAI Press Edition, 1995, Menlo Park, first published in 1963 by McGraw-Hill Book Company.

27. Buchanan, B. G.; Feigenbaum, E. A., *Artificial Intelligence*, **1978**, *11*, 5-24.

28. Lederberg, J. "How DENDRAL Was Conceived and Born", ACM Symposium on the History of Medical Informatics, National Library of Medicine, 1987.

29. Lindsay, R. K.; "Applications of Artificial Intelligence for Organic Chemistry: The DENDRAL project", McGraw-Hill Publishing, 1980.

30. Kurzweil, R. "The Age of Intelligent Machines", p 292 The MIT Press.

31. MIT CBCL and AI lab cosponsored "Spring 2000 Brains and Machines Seminar Series" February 23 Tom Mitchell "Supervised Learning from Unlabeled Data". On the internet at <http://www.ai.mit.edu/events/brainsMachines/abstracts/S2000/mitchell>

32. Kaminka, G. A.; Tambe, M. *J. Artificial Intelligence* **2000**, *12*, 105-147. On the internet at <http://www.cs.washington.edu/research/jair/abstracts/kaminka00a.html>.

³³ "Human Factors in Information Systems: An Organizational Perspective" Ed. Carey, J. M. *Ablex Publishing Corp.* 1991, Norwood, NJ.

³⁴ Baxter, J. "A Model of Inductive Bias Learning", *J. Artificial Intelligence* 2000, 12, 149-198.

003740-28488705

PTO/SB/08 (07-03)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR ACCESS TO AN ABANDONED APPLICATION UNDER 37 CFR 1.14

Bring completed form to:
File Information Unit
Crystal Plaza Three, Room 1D01
2021 South Clark Place
Arlington, VA
Telephone: (703) 308-2763

AUG 10 2004

In re Application of	Bunin
Application Number:	60/198,482
Filed	Apr 18, 2000
Paper No.	2

I hereby request access under 37 CFR 1.14(a)(1)(iv) to the application file record of the above-identified ABANDONED application, which is identified in, or to which a benefit is claimed, in the following document (as shown in the attachment):

United States Patent Application Publication No. 2002/0049548, page, _____ line _____
United States Patent Number _____, column _____, line, _____ or
WIPO Pub. No. _____, page _____, line _____

Related Information about Access to Pending Applications (37 CFR 1.14):

Direct access to pending applications is not available to the public but copies may be available and may be purchased from the Office of Public Records upon payment of the appropriate fee (37 CFR 1.19(b)), as follows:

For published applications that are still pending, a member of the public may obtain a copy of:

the file contents;

the pending application as originally filed; or

any document in the file of the pending application.

For unpublished applications that are still pending:

(1) If the benefit of the pending application is claimed under 35 U.S.C. 119(s), 120, 121, or 365 in another application that has: (a) issued as a U.S. patent, or (b) published as a statutory invention registration, a U.S. Article 21(2), a member of the public may obtain a copy of:

the file contents;

the pending application as originally filed; or

any document in the file of the pending application.

(2) If the application is incorporated by reference or otherwise identified in a U.S. patent, a statutory invention registration, a U.S. patent application publication, or an international patent application publication in accordance with PCT Article 21(2), a member of the public may obtain a copy of:

the pending application as originally filed.

Billy Mullen

Signature

Billy Mullen

Typed or printed name

Registration Number, if applicable

601-230-2363

Telephone Number

AUG 10, 2004

Date

FOR PTO USE ONLY

Approved by:

PAT
AUG 10 2004
(initials)

Unit:

File Information

This collection of information is required by 37 CFR 1.14. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. BRING TO: File Information Unit, Crystal Plaza Three, Room 1D01, 2021 South Clark Place, Arlington, VA.



US 20020049548A1

(19) United States

(12) Patent Application Publication

(12) **Pate**
Runin

1 (10) Pub. No.: US 2002/0049548 A1

Apr. 25, 2002

(54) CHEMISTRY RESOURCE DATABASE

(52) U.S. Cl. 702/32; 702/30

(75) Inventor: Barry A. Bunin, Santa Clara, CA (US)

6570 • J. Neurosci., September 17, 2003 • 23(27):6568–6570

Correspondence Address:

BEYER WEAVER & TH
P.O. BOX 778

BERKELEY, CA 94704-077

Assignee: Libraria, Inc.

(21) Appl. No.: 09/825,135
(22) Filed: Apr. 2, 2001

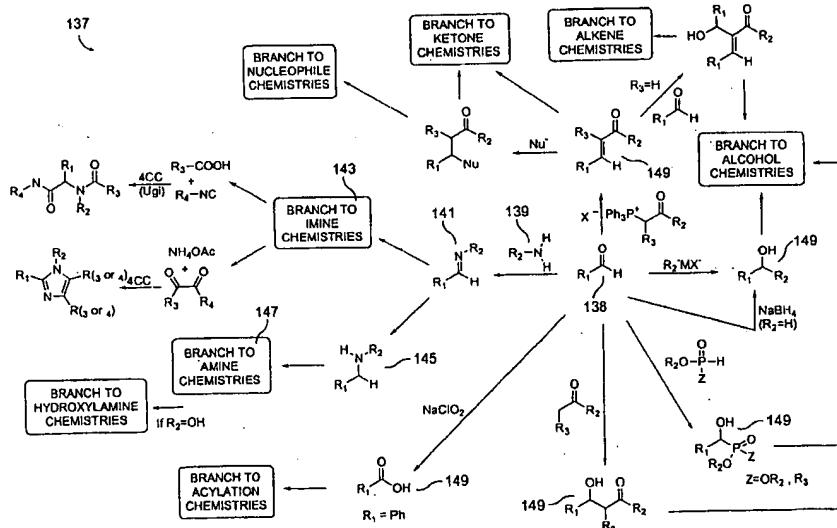
Related U.S. Application Data

(63) Non-provisional or provisional application No. 60/194,338, filed on Apr. 3, 2000. Non-provisional or provisional application No. 60/198,482, filed on Apr. 10, 2000.

Publication Classification

(51) Int. Cl.⁷ G01N 31/00; G06F 19/00

Chemical software tools employ databases and associated systems that store, manipulate, and investigate chemical information that is organized by reaction chemistry. Specific procedures and methods are associated with specific reactions. Further, such tools may associate reliability ratings with individual reactions to identify robust reactions from among groups of related reactions. For example, a particular benzyl amine may be given a high reliability rating because it is superior to other aromatic primary amines in its ability to form amides (the reaction chemistry under consideration). Further, the software tools may automatically suggest/generate diverse libraries for particular precursors, classes of precursors, or reaction chemistries. This is accomplished by automatically generating a flexible group of reaction chemistries based on like procedures and methods for a particular precursor or class of precursors. Preferably, these software tools are designed to allow continuous improvement and refinement by feedback from humans and/or artificial intelligence systems.



**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.